Lunar and diurnal cycles in reproductive physiology and behavior in a natural population of cooperatively breeding fish

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Abstract
Natural environmental periodicity that occurs on both the small scale like day length, or larger scale like lunar light can provide animals with valuable information about resource availability and predation risk. Such environmental cycles are often linked to the timing of reproduction. Here, using the circulating androgen concentrations, gonadal investment patterns and detailed behavioral observations we show that wild populations of the group-living cichlid, Neolamprologus pulcher from Lake Tanganyika, have marked diurnal differences in behavior and lunar synchronicity in their reproductive physiology and behavior. Female ovarian investment peaked in the first quarter of the lunar cycle. In males, plasma steroid hormone levels and sperm swimming speed were highest at this same lunar stage, supporting the idea that egg laying occurs during this phase and that young will emerge at full moon, perhaps because nocturnal predators can be best detected then. Female subordinate group members’ gonadal investment patterns mirrored the lunar pattern observed in dominant female breeders. In contrast, male subordinates did not show a change in gonadal investment or in steroid hormone concentrations across the lunar cycle, suggesting that female subordinates, but not male subordinates, reproduce within the social group. Neolamprologus pulcher demonstrated diurnal cycles in behavior, with higher rates of feeding in the morning. Male and female breeding pairs were strongly size matched potentially as a result of size-assortative mating; also the gonadal investment of male and female mated pairs was strongly correlated indicating within-pair reproductive synchronicity. In general, this study provides evidence for the impact of environmental cues (sunlight and moonlight) on circulating hormones and reproduction in a small tropical freshwater fish.

Introduction
All habitats are subject to both predictable and unpredictable environmental changes that can influence reproductive physiology. Predictable changes such as the difference in daytime light availability and nighttime light availability can be associated with variation in food availability and predation risk. Seasonally breeding animals commonly experience dramatic changes with light or temperature cycles that restrict breeding to only a limited number of months in the year, when conditions for the survival and growth of progeny is optimal (Kramer, 1978; Hau, 2001). In contrast, tropical environments are generally viewed as more stable and breeding often occurs throughout the year (Lowe-McConnell, 1987; Hau, 2001). Even though light and temperature are more consistent in tropical regions, seasonal events (e.g. rainy seasons, El Nino and La Nina oscillation) can still cause periodic changes in climatic conditions even in the tropics.

In aquatic habitats, reproductive periodicity is often associated with cycles of the sun and moon. Marine species often exhibit lunar or semi-lunar periodicity in breeding (Greeley, Marion & MacGregor, 1986; Rahman, Morita & Takemura, 2003; Takemura & Rahman, 2004), with most reproduction occurring on and/or around the new or full moon (Johannes, 1978). These periods within the lunar cycle are also associated with high survival and active dispersal of both planktonic eggs and young (Schwanck, 1987; Rossiter,
animal, however, in highly social group living species like for food is an integral part of the overall activity of the predation risk (Helfman, 1993). The time spent in the search when food availability is highest or best balanced against benefit of concentrating caloric intake to the time of day light available (new moon).

lower spawning rates and higher predation when there is low particularly vulnerable to nocturnal ones. Hence we expected depredated by a variety of piscivores and are likely to be of night light, as (full moon) to ensure young emerge at the highest availability young, spawning would be most common about 1 week before in order to minimize the risk of predation to newly emerged would map onto the lunar cycle. Specifically we predicted that 1987; Rossiter, 1991; Neat & Balshine-Earn, 1999; Watanabe, cichlids including many from Lake Tanganyika (Schwanck, Africa) through repeated breeding attempts. Since reproduction has been linked to lunar periodicity in a number of other cichlids including many from Lake Tanganyika (Schwanck, 1987; Rossiter, 1991; Neat & Balshine-Earn, 1999; Watanabe, 2000), our first prediction was that N. pulcher reproduction would map onto the lunar cycle. Specifically we predicted that in order to minimize the risk of predation to newly emerged young, spawning would be most common about 1 week before (full moon) to ensure young emerge at the highest availability of night light, as N. pulcher are highly visual fish, commonly depredated by a variety of piscivores and are likely to be particularly vulnerable to nocturnal ones. Hence we expected lower spawning rates and higher predation when there is low light available (new moon).

Many animals show diurnal cycles in behavior. It has been suggested that daily foraging patterns result from the benefit of concentrating caloric intake to the time of day when food availability is highest or best balanced against predation risk (Helfman, 1993). The time spent in the search for food is an integral part of the overall activity of the animal, however, in highly social group living species like N. pulcher, feeding must be balanced against other social behavioral requirements such as the time needed to attract a mate, defend a territory or maintain dominance rank among group members. Individuals who fail to maintain social contact and other conspecifics with mating partners often risk losing social status and reproductive opportunities (Trivers, 1985). Thus our second prediction was that feeding behavior would occur at the safer time of day and trade-off with other essential social behaviors.

While annual, lunar and daily patterns of behavior are integral to understanding the control and timing of reproduction for a particular species, the interactions between mated individuals can also influence mating success. Synchronicity in male and female reproductive behavior physiology has been shown in a number of avian (Gochfeld, 1980; Findlay & Cooke, 1982; Murphy & Schauer, 2004) and teleost species (Bye, 1990). It is possible that in tropical freshwater fish, like cichlids, males and females in socially mated pairs use lunar cues to synchronize their reproductive efforts. Our third prediction was that mated pairs of N. pulcher would match up on gametic investment patterns and related social behavior.

Methods

Study species, field site and behavioral measures

Neolamprologus pulcher live in social groups consisting of a dominant breeding pair and 1–20 subordinate helpers (males and females) that assist in all aspects of care (Taborsky & Limberger, 1981; Balshine-Earn et al., 1998; Heg, Bachar & Taborsky, 2005). Care consists of territory/nest defense, territory/nest maintenance and direct brood care (fanning and cleaning of the eggs and larvae). In the field breeders can spawn monthly, dispersal is rare and breeders continue to protect helpers well after sexual maturity (Taborsky, 1984; Stiver, et al., 2004; Stiver, et al., 2007). Males and females are not overtly sexually dimorphic although females tend to be smaller in body size than males (Balshine et al., 2001). As a consequence of dispersal and rapid breeder turnover, helpers are only distantly related to the breeding pair and the youngest brood (Stiver et al., 2005).

Between January 29th and April 29th in 2004 and between February 2nd and April 28th 2005, 88 social groups were studied using SCUBA in Kasakalawe Bay, Lake Tanganyika (Zambia). Each group was monitored one to three times (for an average of 15 min) to assess group size and composition; each individual was reliably identified by a combination of underwater size estimates and specific individual markings. Fish were individually marked by fin clipping and injecting non-toxic acrylic paint into scale pockets (Desjardins, et al., 2008a, b). A minimum of 3 days elapsed between capture/marking and behavioral observations. Mean group size in this study was nine individuals; two dominant breeders and seven subordinate helpers (range 6–14 individuals per group).

To investigate diurnal variation in behavior, two focal watches were conducted on each individual, one in the morning (08:00–11:00 h) and the other in the afternoon (14:00–17:00 h, each for 7–10 min). To explore patterns of lunar variation in behavior, fish were followed at four time periods in the lunar cycle corresponding to degree of moonlight. Each focal watch was preceded by a 2 min habituation period, after which fish resume feeding, socializing and actively defending their territory (see Desjardins, et al., 2008a). To test for diurnal differences in behavior and for
synchronicity within breeding pairs, only those groups in which we had matched male breeder and female breeder behavioral watches occurring both in the morning and the afternoon on the same day within the same phase of the lunar cycle were included in the analyses. Overall, we had 18 male breeders and their paired 18 female breeders that could be included in the behavioral analysis and 18 matched pairs of male and female breeders for physiological analyses.

Behaviors observed included feeding, territory defense (aggressive behaviors such as ramming, chasing, biting, mouth fighting and defensive posturing toward heterospecifics and neighboring conspecifics), territory maintenance (digging and carrying of substrate), brood chamber visits (where the eggs are laid) and social behaviors (following, soft touching, parallel swimming, tail quivering and submissive postures) (see Sopinka et al., 2009 for a recent ethogram). To score total work effort, we used a composite that was the sum of all behaviors thought to enhance offspring survival; this included defense against heterospecifics and neighboring conspecifics, territory maintenance and visits to the brood chamber. Note, we use visits to the brood chamber as a proxy for direct brood care as in the field it is impossible to observe caring acts such as fanning and egg cleaning and turning that occur deep in the brood chamber. Within-group aggression was defined as a sum of all aggressive behaviors displayed toward one’s own group members. Affiliative behavior was defined as the sum of all within-group non-aggressive behaviors (see Sopinka et al 2009). These summed behavioral scores or indices for work effort, within-group aggression and social affiliative behavior have been used previously with this species (Balshine, et al., 2001; Stiver, et al., 2004; Aubin-Horth et al., 2007). To test for behavioral differences between male and female dominant breeders, male and female subordinate helpers, we included all individuals that had been observed at least twice (averaging across observations). With this criterion, we included behavioral observations on 46 male breeders (first quarter n = 8, full moon n = 12, third quarter n = 5, new moon n = 21), 61 female breeders (first quarter n = 7, full moon n = 14, third quarter n = 11, new moon n = 29), 50 male helpers (first quarter n = 5, full moon n = 12, third quarter n = 12, new moon n = 21) and 58 female helpers (first quarter n = 9, full moon n = 14, third quarter n = 11, new moon n = 24).

**Blood sampling and hormone assays**

Blood samples were collected during the four phases of the lunar cycle (Fig. 1a). To collect fish we placed a tent net directly over the entire group following the completion of the behavioral observations. We then carefully injected a small volume (3–7 mL) of an anesthetic [quinaldine; 2-methylquinoline; C₆H₄N:C(CH₃)CH:CH] into the center of the territory while the net was in place and collected the temporarily sedated fish. Fish from each group were placed together in individually marked mesh holding cages (20 cm x 20 cm x 20 cm) to recover from sedation and were then slowly (~20 min) brought to the surface.

![Figure 1](https://example.com/figure1.png)  
**Figure 1** Mean (± s.e.) frequency of (a) feeding, (b) work effort and (c) social interactions per 10-min behavioral observation in the morning (08:00–12:00 h) versus the afternoon (12:01–06:00 h). Asterisks above bars represent significant difference based on linear contrast analysis, α = 0.05. These analyses are based on 18 male and 18 female breeding pairs.
Once at the surface of the water, fish were anesthetized with a lethal dose of benzoic acid (ethyl p-aminobenzoate, 1.0 μg/mL). Fish were measured for standard length (SL) to the nearest millimeter. Body mass was measured to the nearest 0.001 g and a blood sample was taken by caudal severance. Sex was confirmed by gonadal examination and gonads were weighed to the nearest 0.001 g. Blood was collected and spun at 8,000 g for 10 min and the separated plasma was removed and stored at −10 °C for analysis of T and 11-KT.

We extracted steroids from plasma by shaking the aliquots with 5 mL of diethyl ether twice. After freezing the aqueous phase in an acetonitrile and dry ice bath, the organic phase was decanted and dried. The dry extracts were resolubilized in assay buffer and frozen at −20 °C. Extraction losses were independently monitored and recoveries following a double extraction procedure were 88 ± 4% (Desjardins, et al., 2006). We used radioimmunoassay (RIA) to measure T and 11-KT following the techniques to measure steroids from plasma described by McMaster et al. (1995). The reported values are the average concentrations calculated from duplicate aliquots whose values fell within the 5–95% binding limits of the appropriate standard curve following the linearization with a log-logit transformation. Intra-assay coefficients of variation ranged from 5.8 to 9.3% for T and from 4.2 to 8.9% for 11-KT. Inter-assay coefficients of variation did not exceed 15% in assays for T or 11-KT. The assays for T and 11-KT were validated for this species before the experiment and have been reported in Desjardins et al. (2006). Samples with too small a volume to yield a detectable level of hormone were deleted from the dataset. When the blood sample was large enough to reveal a hormone level but a nondetectable hormone level was still observed we estimated the minimum detectable level from the dataset. When the blood sample was large enough to reveal a detectable level of hormone were deleted from the 5–95% binding limits of the appropriate standard curve following a double extraction procedure were 88 ± 4% (Desjardins, et al., 2006). We used radioimmunoassay (RIA) to measure T and 11-KT following the techniques to measure steroids from plasma described by McMaster et al. (1995). The reported values are the average concentrations calculated from duplicate aliquots whose values fell within the 5–95% binding limits of the appropriate standard curve following the linearization with a log-logit transformation. Intra-assay coefficients of variation ranged from 5.8 to 9.3% for T and from 4.2 to 8.9% for 11-KT. Inter-assay coefficients of variation did not exceed 15% in assays for T or 11-KT. The assays for T and 11-KT were validated for this species before the experiment and have been reported in Desjardins et al. (2006). Samples with too small a volume to yield a detectable level of hormone were deleted from the dataset. When the blood sample was large enough to reveal a hormone level but a nondetectable hormone level was still observed we estimated the minimum detectable level from the dataset. When the blood sample was large enough to reveal a hormone level but a nondetectable hormone level was still observed we estimated the minimum detectable level from the dataset. When the blood sample was large enough to reveal a hormone level but a nondetectable hormone level was still observed we estimated the minimum detectable level from the dataset. When the blood sample was large enough to reveal a hormone level but a nondetectable hormone level was still observed we estimated the minimum detectable level from the dataset.

To test for the effect of time of day, lunar stage, sex and the interaction between these two fixed factors on hormone levels and on behaviors, we conducted two-way ANOVAs and post hoc linear contrasts analysis (Rosenthal & Rosnow, 1985). Where post hoc tests were conducted, these were corrected for multiple comparisons to maintain an overall α-level equal to 0.05. We included sex as a fixed factor in our analyses as we have demonstrated previously sex differences in behaviors and physiology (Desjardins, et al., 2008 a,b). Gonado-somatic index (GSI) was calculated as gonad mass/body mass × 100. To test for relationships between breeder males and females in terms of body size (SL and body mass), GSI and behavior, Pearson’s correlations and regression analyses were performed.

**Ethical note**

The fish were collected over two field seasons that spanned a 15-month period and extreme care was taken not to collect fish from small subpopulations (< 10 groups). Neighboring groups were always left intact to minimize the impact of collection, and neighboring fish quickly re-colonized emptied positions and territories, usually within 1–2 days. All research described conformed to the protocols approved by the Animal Research Ethics Board of McMaster University and the Canadian Council for Animal Care guidelines and was conducted with the permission and cooperation of the Zambian Department of Fisheries.

**Results**

How does behavior vary across the day and over the lunar cycle?

Both male and female dominant breeders fed more in the morning than in the afternoon (Fig. 1a; males: $t_{18} = 2.46$,
How did circulating androgens and sperm characteristics vary across the lunar cycle?

Dominant breeding males showed lunar periodicity in hormone levels with much higher circulating T and 11-KT in the first quarter moon just before full moon (Fig. 2a; T: \( F_{3,20} = 6.63, P = 0.002 \); Fig. 2b; 11-KT: \( F_{3,20} = 3.34, P = 0.03 \)). Dominant breeding females did not show a similar rise in T or 11-KT levels across the lunar cycle (Fig. 2a and b; T: \( F_{3,27} = 0.49, P = 0.69 \); 11-KT: \( F_{3,27} = 0.31, P = 0.81 \)). However, females did show lunar periodicity in gonad investment with highest GSI occurring during this same first quarter moon, the period before full moon (Fig. 2c; \( F_{3,27} = 5.33, P = 0.002 \)). Estrogen levels were not measured in this study because of limited available blood plasma in such small fish, so it remains possible that dominant breeding females have lunar periodicity in estrogen. While gonadal investment (\( F_{3,20} = 0.58, P = 0.63 \)) and sperm number (\( F_{3,20} = 0.85, P = 0.47 \)) did not vary across the lunar cycle for dominant breeding males, strong lunar periodicity was observed in sperm swimming speed (mean ± SE: first quarter: 38.59 ± 5.53 μm/s; full moon: 34.50 ± 4.52 μm/s; third quarter: 14.27 ± 3.33 μm/s; new moon: 15.36 ± 4.03 μm/s; \( F_{3,20} = 3.23, P = 0.03 \)). The highest sperm swimming speeds were observed at the first quarter and at the full moon.

In subordinate helpers, no lunar periodicity in T or 11-KT levels were observed (T: male helpers: \( F_{3,12} = 0.15, P = 0.86 \); female helpers: \( F_{3,17} = 0.31, P = 0.82 \); 11-KT: male helpers: \( F_{3,12} = 3.25, P = 0.06 \); female helpers: \( F_{3,17} = 1.22, P = 0.33 \)). In subordinate male helpers, gonadal investment, sperm number and sperm swimming speeds did not vary across the lunar cycle (GSI: \( F_{3,12} = 1.32, P = 0.27 \); sperm number: \( F_{3,12} = 1.00, P = 0.40 \) or sperm velocity: \( F_{3,12} = 1.87, P = 0.14 \)). In contrast, subordinate females reproduction showed the same lunar signature observed in dominant females, with highest gonadal investment occurring during the first quarter moon (\( F_{3,17} = 5.33, P = 0.002 \)).

Are pairs of male and female breeders synchronizing behavior or reproductive physiology?

Male and female within social pairs were highly correlated in body mass (\( R^2 = 0.45, F_{1,16} = 42.20, P < 0.0001 \)), SL (\( R^2 = 0.24, F_{1,16} = 16.38, P = 0.0002 \)) and GSI (\( R^2 = 0.12, F_{1,16} = 7.18, P = 0.01 \)). Androgen levels were not correlated within breeding pairs (all \( P > 0.23 \)). Feeding rates of dominant male and female breeding pairs were positively correlated (\( R^2 = 0.18, F_{1,16} = 12.27, P = 0.001 \)) but interestingly neither the frequency of work effort (\( R^2 = 0.02 \), \( F_{1,16} = 1.33, P = 0.25 \)) nor social behavior were correlated in breeding pairs (\( R^2 = 0.10, F_{1,16} = 6.14, P = 0.16 \)).

Discussion

A number of patterns suggest that *N. pulcher* spawn just before or around full moon. Dominant female breeders invested dramatically more in gonads during the first quarter moon, and male breeders exhibited a marked increase in androgens and sperm swimming speed during this same first quarter moon period. The high androgen levels in males likely influence sperm quality by triggering the production of hormones that are downstream from T and 11-KT in the metabolic pathway (specifically 17,20-dihydroxy-4-pregnen-3-one, Miura, et al., 2005). Thus, lunar cues appear to influence the hypothalamic–pituitary–gonadal axis in *N. pulcher* breeders.

Why would moonlight be a useful cue for reproductive entrainment? In general, lunar cycles of reproduction are a well-documented feature among marine organisms (Brown, 1957), but are rarely reported in freshwater species (Rossiter, 1991). *Neolamprologus pulcher*, is a diurnal fish with adhesive eggs and philopatric young. These fish live in an environment with many nocturnal predators. Hence, the link between reproduction and moonlight is more likely a result of the impact of light on nocturnal predator detection. The first quarter moon would represent an ideal time for spawning, as nighttime light would be at its highest (full moon) when the young emerge. *Neolamprologus pulcher* eggs hatch in 2–3 days and emerge from the attached larval stage of development as free swimming young about 5 days later. Full moon light would provide adults the opportunity to visually detect nocturnal predators entering the brood chamber, and to more effectively protect newly laid eggs or developing embryos. Free-swimming young emerging at the
lightest phase of the lunar cycle (full moon) may have highest survival because nocturnal predators are most conspicuous at full moon.

The lunar cycle link to reproduction in dominant breeder females was mirrored in female subordinate helpers; largest ovaries were found around the first quarter moon. This result suggests that multiple females may be breeding within an N. pulcher social group. The phenomenon, of secondary or subordinate females breeding alongside the dominant breeder provides support for female reproductive skew in N. pulcher and has been shown to occur in Seychelles warblers Acerophalus sechellensis (Richardson, et al., 2001) and N. pulcher held in the laboratory (Heg et al., 2006) and the field (Stiver, et al., 2009).

The lack of lunar periodicity in male helper androgen levels or in their sperm characteristics suggests that subordinate male helpers do not participate in reproduction, by either sneaking fertilizations from the dominant breeding males (Dierkes, et al., 1998, or mating with female helpers (Fitzpatrick, et al., 2006). The physiological consequences of reproductive suppression in other taxa ranges from reductions in reproductive hormone levels (Faulkes & Bennett, 2001) to impaired or delayed gonadal development (Faulkes, et al., 1994). Dominant breeder suppression of subordinates may influence hormone levels and sperm quality (Fitzpatrick, et al., 2006, 2008; Desjardins, et al., 2008a).

While individual behavior did not vary consistently over the lunar cycle, there were interesting diurnal patterns in behavior. Both males and females showed a significant decrease in feeding in the afternoon, relative to the morning, suggesting that these visual feeders forage less toward dusk and devote this time engaging in other behaviors. Consistent differences between morning and afternoon behaviors could additionally indicate that fish use cues from the environment such as light availability, angle or intensity to coordinate their behavior. In the case of feeding N. pulcher may also be using internal physiological cues (e.g. hunger) to regulate morning versus afternoon behaviors.

Pairs of male and female breeders were well matched in terms of gonadal investment suggesting that the monogamous breeding N. pulcher pairs sampled for this study were reproductively linked and possibly are using cues from the moon to activate and synchronize their reproductive axes. Male and female N. pulcher breeding pairs also showed an association in body size (mass and SL) with larger males mating with larger females. Several hypotheses exist to explain size-assortative mating (Crespi, 1989). (1) Size assortative mating may be the consequence or a biproduct of intra-sexual competition; if males compete intensely for territories with large females, only large competitive males will end up with large females; (2) size assortative mating may be a result of mate availability varying in relation to size so that only large males and females are abundant at one particular time and space; (3) mating constraints on mismatch may also lead to size assortative mating because large differences in body size could preclude reproduction; (4) mate choice, by either males, females or both with

Figure 2 Mean (± sd) of (a) testosterone, (b) 11-ketotestosterone and (c) gonado-somatic index across the lunar cycle for dominant males and females. Bar color and shading represent the phase of the lunar cycle in which sampling was conducted. The bar with shading dark to light is the first quarter, the open bar is the full moon, the bar with the shading light to dark is the third quarter and the solid bar represents the new moon. *Significant difference based on linear contrast analysis, α=0.05. Sample sizes are indicated within each bar.
preferences for large body size will lead to size assortative mating as larger individuals out-compete smaller individuals for access to mates, leaving small individuals to mate only with other small individuals.

In the case of Neolamprologus pulcher, the most plausible explanations for assortative mating are likely intra-sexual selection or mate choice. Small females are physically capable of mating with large males and vice versa (Balshine, pers. obs.) and significant variation exists in breeder body sizes across the spatial range of the study area used (Balshine et al., 2001; Stiver et al., 2008). Males may benefit from selecting large females if large females are more fecund (Savalli & Fox, 1998) while females would benefit from selecting large males if large males are better able to provide care for young or protection from predators. Male and/or female mating preferences have never been documented in N. pulcher. However, in the field, when male breeding vacancies are created (Stiver et al., 2006; Fitzpatrick et al., 2008) the remaining female breeder defends her territory from incoming males (J. Desjardins, J. Fitzpatrick, K. Stiver, pers. obs.). Using this form of passive choice, a female may be able to defend her territory from smaller, less-suitable males while only large aggressive males are able to overcome her defensive tactics (Fitzpatrick et al., 2008).

In conclusion, the patterns presented here suggest that Neolamprologus pulcher use cues from the moon to activate their reproductive axis and breed. We also document a strong diurnal behavioral pattern in N. pulcher (more feeding in the mornings), and within pair size matching and reproductive synchronicity within pairs.

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